

# ***HELIUM OPPORTUNITIES***

PERIODIC INFORMATION DOCUMENT  
FOR THE SEMESTER

*as of 28th June 2019*

*Mutual fund*

*Management company : SYQUANT CAPITAL 25, Avenue Kleber - 75116 PARIS  
Custodian : RBC Investor Services Bank France S.A. 105, rue Réaumur - 75002 PARIS*

## **ASSET AND LIABILITY STATEMENT**

|   | <b>28/06/2019</b>     |
|---|-----------------------|
|   | in amount             |
| <b>FINANCIAL INSTRUMENTS</b>            |                       |
| Equities and equivalent instruments (1) | 245 224 889,89        |
| Debt securities (2)                     | 336 582 742,70        |
| <b>CASH AND BANK ACCOUNTS</b>           |                       |
| Cash and cash equivalents               | 25 779 664,82         |
| <b>OTHER ASSETS</b>                     |                       |
| Shares or units in UCITS funds          | 0,00                  |
| Forwards contracts                      | 4 468 881,38          |
| Receivables                             | 116 866 044,58        |
| Other                                   | 147 367 931,93        |
| <b>TOTAL ASSETS HELD</b>                | <b>876 290 155,30</b> |
| <b>LIABILITIES</b>                      |                       |
| Forwards contracts                      | -10 843 512,53        |
| Payables                                | -5 607 699,40         |
| Bank accounts                           | -107 758 570,81       |
| Other                                   | 0,00                  |
| <b>NET ASSET VALUE</b>                  | <b>752 080 372,56</b> |

(1) Except subscription rights

(2) Except money market instruments, bills of exchange, subscription rights, promissory notes, and mortgage notes

## **FUND VALUE**

Class A

| <b>Date</b> | <b>Type of Share Class</b> | <b>Net asset value in EUR</b> | <b>Number of Shares</b> | <b>Value per share in EUR</b> |
|-------------|----------------------------|-------------------------------|-------------------------|-------------------------------|
| 28/06/2019  | Capitalization             | 581 533 591,21                | 425 382,026             | 1 367,08                      |

Class B

| <b>Date</b> | <b>Type of Share Class</b> | <b>Net asset value in EUR</b> | <b>Number of Shares</b> | <b>Value per share in EUR</b> |
|-------------|----------------------------|-------------------------------|-------------------------|-------------------------------|
| 28/06/2019  | Capitalization             | 92 921 822,99                 | 70 898,178              | 1 310,63                      |

Class B-CL

| <b>Date</b> | <b>Type of Share Class</b> | <b>Net asset value in EUR</b> | <b>Number of Shares</b> | <b>Value per share in EUR</b> |
|-------------|----------------------------|-------------------------------|-------------------------|-------------------------------|
| 28/06/2019  | Capitalization             | 22 770 553,55                 | 22 529,455              | 1 010,7                       |

Class A -USD

| <b>Date</b> | <b>Type of Share Class</b> | <b>Net asset value in EUR</b> | <b>Number of Shares</b> | <b>Value per share in USD</b> |
|-------------|----------------------------|-------------------------------|-------------------------|-------------------------------|
| 28/06/2019  | Capitalization             | 48 853 024,86                 | 45 325,875              | 1 227,41                      |

Class S

| <b>Date</b> | <b>Type of Share Class</b> | <b>Net asset value in EUR</b> | <b>Number of Shares</b> | <b>Value per share in EUR</b> |
|-------------|----------------------------|-------------------------------|-------------------------|-------------------------------|
| 28/06/2019  | Capitalization             | 6 001 379,95                  | 3 736,191               | 1 606,28                      |

## INSTRUMENTS PORTFOLIO

### a) Breakdown by country of issue

|   | Percentage |             |
|---|------------|-------------|
|   | Net Asset  | Total Asset |
| <b>Any financial instrument and securities eligible and duly authorized for purchase and sale by the public. These securities will be listed with regular trading hours and the issuer will have their headquarters within the European Union or the European Economic Area</b> |            |             |
| Equities and equivalent securities (1)  |            |             |
| - FRANCE  | 9,87%      | 8,47%       |
| - SWEDEN  | 6,41%      | 5,50%       |
| - GERMANY   | 4,65%      | 3,99%       |
| - NETHERLAND  | 3,15%      | 2,71%       |
| - SPAIN   | 1,89%      | 1,63%       |
| - FINLAND   | 0,70%      | 0,60%       |
| - AUSTRIA   | 0,25%      | 0,21%       |
| - BELGIUM   | 0,09%      | 0,08%       |
| - LUXEMBOURG  | 0,07%      | 0,06%       |
| Debt securities (2)   |            |             |
| - FRANCE  | 16,64%     | 14,28%      |
| - NETHERLAND  | 9,03%      | 7,75%       |
| - UNITED KINGDOM  | 5,54%      | 4,76%       |
| - GERMANY   | 3,68%      | 3,16%       |
| - BELGIUM   | 3,22%      | 2,76%       |
| - LUXEMBOURG  | 2,63%      | 2,25%       |
| - SPAINE  | 1,82%      | 1,56%       |
| - ITALY   | 0,72%      | 0,62%       |
| - FINLAND   | 0,14%      | 0,12%       |
| - NORWAY  | 4,69%      | 4,03%       |
| - CYPRUS  | 3,62%      | 3,11%       |

|   |   |   |
|---|---|---|
| <b>Any financial instrument and securities eligible and duly authorized for purchase and sale by the public. These securities will be listed with regular trading hours in the stock exchange of a third country, as long as this market or stock exchange is not part of a list established by the AMF or that the choice of this stock exchange or market is permitted by the law or the regulation or the status of the Collective Investment in Transferable Securities (UCITS)</b> |   |   |
| <b>Equities and equivalent securities (1)</b><br>- UNITED STATES of AMERICA<br>- SWITZERLAND<br>- JERSEY<br>- JAPON<br>- HONG-KONG<br><br><b>Debt securities (2)</b><br>- UNITED STATES of AMERICA<br>- SWITZERLAND<br>- MEXICO<br>- JERSEY<br>- BERMUDES<br>- UAE<br>- AUSTRALIA<br>- VIRGIN ISLANDS   | <br>4,94%<br>0,12%<br>0,02%<br>0,41%<br>0,02%<br><br>5,33%<br>2,26%<br>1,63%<br>1,61%<br>0,14%<br>0,12%<br>1,02%<br>0,52% | <br>4,24%<br>0,10%<br>0,02%<br>0,35%<br>0,02%<br><br>4,57%<br>1,94%<br>1,40%<br>1,38%<br>0,12%<br>0,10%<br>0,87%<br>0,45% |
| <b>Newly issued financial instruments mentioned in I.4° of the article R. 214-11 of the French Monetary and Financial Code</b>  |   |   |
| Equities and equivalent securities  | NA  | NA  |
| Debt securities   | NA  | NA  |
| <b>Other assets</b>   |   |   |

(1) Except subscription rights

(2) Except bills of exchange, subscription rights, promissory notes, and mortgage notes

**b) Breakdown by currency**

|   | Percentage          |                     |
|---|---------------------|---------------------|
|   | Net Asset           | Total Asset         |
| <p><b>Any financial instrument and securities eligible and duly authorized for purchase and sale by the public. These securities will be listed with regular trading hours and the issuer will have their headquarters within the European Union or the European Economic Area</b></p> <p>Equities and equivalent securities (1)</p> <ul style="list-style-type: none"> <li>- Euro 20,66%</li> <li>- Swedish Krone 6,41%</li> <li>- US Dollars 0,02%</li> </ul> <p>Debt securities (2)</p> <ul style="list-style-type: none"> <li>- Euro 36,38%</li> <li>- US Dolars 7,91%</li> <li>- British Pound 7,43%</li> </ul>  |                     |                     |
| <p><b>Any financial instrument and securities eligible and duly authorized for purchase and sale by the public. These securities will be listed with regular trading hours in the stock exchange of a third country, as long as this market or stock exchange is not part of a list established by the AMF or that the choice of this stock exchange or market is permitted by the law or the regulation or the status of the Collective Investment in Transferable Securities (UCITS)</b></p> <p>Equities and equivalent securities (1)</p> <ul style="list-style-type: none"> <li>- US Dollar 4,94%</li> <li>- Yen 0,41%</li> <li>- Swedish Krone 0,08%</li> <li>- Euro 0,07%</li> <li>- Hong-Kong Dollar 0,02%</li> </ul> <p>Debt securities (2)</p> <ul style="list-style-type: none"> <li>- British Pound 3,95%</li> <li>- US Dollar 3,53%</li> <li>- Euro 2,88%</li> <li>- Swiss Franc 2,26%</li> </ul> |                     |                     |
| <p><b>Newly issued financial instruments mentioned in I.4° of the article R. 214-11 of the French Monetary and Financial Code</b></p> <p>Equities and equivalent securities</p> <p>Debt securities</p>  | <p>NA</p> <p>NA</p> | <p>NA</p> <p>NA</p> |
| <b>Other assets</b>   |                     |                     |

(1) Except subscription rights

(2) Except bills of exchange, subscription rights, promissory notes, and mortgage notes

**b) Breakdown by Maturity**

|   | Percentage  |   |
|---|---|---|
|   | Net Asset   | Total Asset   |
| <p><b>Any financial instrument and securities eligible and duly authorized for purchase and sale by the public. These securities will be listed with regular trading hours and the issuer will have their headquarters within the European Union or the European Economic Area</b></p> <p>Debt securities (2)</p> <p>- 0 - 3 month</p> <p>- 3 month - 1 year</p> <p>- 1 year - 3 years</p> <p>- 3 years - 5 years</p> <p>- &gt; 5 years</p>   | <p>7,59%</p> <p>9,38%</p> <p>21,84%</p> <p>3,28%</p> <p>9,63%</p> | <p>6,52%</p> <p>8,05%</p> <p>18,75%</p> <p>2,82%</p> <p>8,26%</p> |
| <p><b>Any financial instrument and securities eligible and duly authorized for purchase and sale by the public. These securities will be listed with regular trading hours in the stock exchange of a third country, as long as this market or stock exchange is not part of a list established by the AMF or that the choice of this stock exchange or market is permitted by the law or the regulation or the status of the Collective Investment in Transferable Securities (UCITS)</b></p> <p>Debt securities (2)</p> <p>- 0 - 3 month</p> <p>- 3 month - 1 year</p> <p>- 1 year - 3 years</p> <p>- 3 years - 5 years</p> <p>- &gt; 5 years</p> | <p>0,14%</p> <p>2,48%</p> <p>5,62%</p> <p>2,65%</p> <p>1,73%</p>  | <p>0,12%</p> <p>2,13%</p> <p>4,82%</p> <p>2,27%</p> <p>1,49%</p>  |
| <p><b>Newly issued financial instruments mentioned in I.4° of the article R. 214-11 of the French Monetary and Financial Code</b></p> <p>Equities and equivalent securities</p> <p>Debt securities</p>  | <p>NA</p> <p>NA</p>   | <p>NA</p> <p>NA</p>   |
| <b>Other assets</b>   |   |   |

(1) Except subscription rights

(2) Except bills of exchange, subscription rights, promissory notes, and mortgage notes

**b) Breakdown by Nature of Interest Rate**

|  | Percentage                                       |  |
|--|--|--|
|  | Net Asset  | Total Asset                                      |
| <p><b>Any financial instrument and securities eligible and duly authorized for purchase and sale by the public. These securities will be listed with regular trading hours and the issuer will have their headquarters within the European Union or the European Economic Area</b></p> <p>Debt securities (2)</p> <ul style="list-style-type: none"> <li>- Fixed Rate</li> <li>- Variable Rate</li> <li>- Revisable Rate</li> <li>- Other</li> </ul>   | <p>48,92%</p> <p>1,12%</p> <p>1,69%</p> <p>-</p> | <p>41,98%</p> <p>0,96%</p> <p>1,45%</p> <p>-</p> |
| <p><b>Any financial instrument and securities eligible and duly authorized for purchase and sale by the public. These securities will be listed with regular trading hours in the stock exchange of a third country, as long as this market or stock exchange is not part of a list established by the AMF or that the choice of this stock exchange or market is permitted by the law or the regulation or the status of the Collective Investment in Transferable Securities (UCITS)</b></p> <p>Debt securities (2)</p> <ul style="list-style-type: none"> <li>- Fixed Rate</li> <li>- Variable Rate</li> <li>- Revisable Rate</li> <li>- Other</li> </ul> | <p>12,62%</p> <p>-</p> <p>-</p> <p>-</p>         | <p>10,83%</p> <p>-</p> <p>-</p> <p>-</p>         |
| <p><b>Newly issued financial instruments mentioned in I.4° of the article R. 214-11 of the French Monetary and Financial Code</b></p> <p>Equities and equivalent securities</p> <p>Debt securities</p>   | <p>NA</p> <p>NA</p>                              | <p>NA</p> <p>NA</p>                              |
| <b>Other assets</b>  |  |  |

(1) Except subscription rights

(2) Except bills of exchange, subscription rights, promissory notes, and mortgage notes



## **CHANGES IN PORTFOLIO COMPOSITION DURING THE SEMESTER**

| <b>Movements</b> | <b>In amount<br/>(€ millions) *</b> |
|------------------|-------------------------------------|
| . Purchase       | 758,62                              |
| . Transfer       | 738,63                              |

*\*Amounts taking into account only financial instruments and unit trusts*

## **RESULT ALLOCATION**

|                    |  |
|--------------------|--|
| <i>Class A</i>     | <i>All income capitalized within the share</i> |
| <i>Class B</i>     | <i>All income capitalized within the share</i> |
| <i>Class B-CL</i>  | <i>All income capitalized within the share</i> |
| <i>Class A-USD</i> | <i>All income capitalized within the share</i> |
| <i>Class S</i>     | <i>All income capitalized within the share</i> |

### **Securities financing transactions pursuant to the SFTR Regulation :**

The UCITS did not use securities financing transactions during the period under review.